

DEPREF
PATENT APPLICATION
RM307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shigeto UCHIYAMA et-al

Appln. No.: 09/485,320

Filed: February 8, 2000



Conf. No.: 1771

Group Art Unit: 1651

Examiner: Marx, I.

#181 Reg for
refund
01-30-03

For: ISOFLAVONE-CONTAINING COMPOSITION

REQUEST FOR REFUND

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby respectfully requests a refund in the amount of \$78.00. This refund is to be credited to Deposit Account No. 19-4880.

Specifically, Applicants note that an excess independent claim fee was charged to Applicants' deposit account (as evidenced by the Monthly Statement Deposit Account dated April 28, 2000, attached). The independent fee, in the amount of \$234.00 for a total of six (6) independent claims, was submitted with the filing of the application on February 8, 2000 (see the date-stamped mailroom receipt, Letter to Commissioner dated February 8, 2000, and check no. 146734, attached). Hence, the full independent claim fee has been provided. Thus, Applicants request a refund in the amount of \$78.00 for overcharge of the excess independent claim fee to be refunded to Deposit Account No. 19-4880.

A duplicate copy of this paper is attached.

Respectfully submitted,

Gordon Kit
Registration No. 30,764

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860
Date: November 6, 2002



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

SUGHRUE MION ZINN MACPEAK & SEAS

2100 PENNA AVE N W
SUITE 800
WASHINGTON DC 20037



| |
|-------------|
| Account No. |
| 194880 |
| Date |
| 4-28-00 |
| Page |
| 3 |

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

| DATE POSTED | | | CONTROL NO. | DESCRIPTION (Serial, Patent, TM, Order) | DOCKET NO. | FEES CODE | CHARGES/ CREDITS | BALANCE |
|-------------|-----|-----|-------------|--|-------------|-----------|------------------|----------|
| MO. | DAY | YR. | | | | | | |
| 4 | 13 | 00 | 11 | 09473294 | 915.303US01 | 101 | 70.00 | 16045.32 |
| 4 | 13 | 00 | 52 | 5789942 | | 145 | 70.00 | 15975.32 |
| 4 | 13 | 00 | 73 | 09541598 | Q57934 | 201 | 100.00 | 15875.32 |
| 4 | 13 | 00 | 74 | 09541598 | Q57934 | 203 | 345.00 | 15530.32 |
| 4 | 13 | 00 | 77 | 09541899 | | 704 | 153.00 | 15377.32 |
| 4 | 13 | 00 | 112 | 76021147 | S2166 | 361 | -144.00 | 15521.32 |
| 4 | 13 | 00 | 165 | 60135844 | P54388 | 567 | 325.00 | 15196.32 |
| 4 | 13 | 00 | 166 | 60135843 | P54389 | 567 | 30.00 | 15166.32 |
| 4 | 13 | 00 | 177 | 09125944 | | 115 | 30.00 | 15136.32 |
| 4 | 13 | 00 | 180 | 09516048 | | 201 | 110.00 | 15026.32 |
| 4 | 13 | 00 | 181 | 09516048 | | 202 | 345.00 | 14681.32 |
| 4 | 13 | 00 | 182 | 09516048 | | 203 | 39.00 | 14642.32 |
| 4 | 13 | 00 | 183 | 09516048 | | 205 | 153.00 | 14489.32 |
| 4 | 13 | 00 | 184 | 09516048 | | 581 | 65.00 | 14424.32 |
| 4 | 13 | 00 | 224 | 60127623 | A7470 | 567 | 40.00 | 14384.32 |
| 4 | 14 | 00 | 1 | 09048215 | | 131 | 30.00 | 14354.32 |
| 4 | 14 | 00 | 2 | 09048215 | | 102 | 234.00 | 14120.32 |
| 4 | 14 | 00 | 3 | 08866277 | | 131 | 108.00 | 14012.32 |
| 4 | 14 | 00 | 50 | 09184254 | Q45475 | 117 | 870.00 | 13142.32 |
| 4 | 14 | 00 | 52 | 09184254 | Q052185 | 970 | 118.00 | 13024.32 |
| 4 | 14 | 00 | 92 | 60195860 | Q052185 | 966 | 22.00 | 13002.32 |
| 4 | 17 | 00 | 14 | PAYMENT | CP-1066 | 114 | 150.00 | 12852.32 |
| 4 | 17 | 00 | 84 | 09473883 | | 701 | -40000.00 | 52852.32 |
| 4 | 17 | 00 | 222 | 09274814 | Q57376 | 101 | 352.00 | 52500.32 |
| 4 | 17 | 00 | 243 | 09051054 | M-7196 US | 567 | 30.00 | 52470.32 |
| 4 | 17 | 00 | 244 | 09051054 | | 117 | 490.00 | 51980.32 |
| 4 | 17 | 00 | 385 | 09485320 | | 119 | 300.00 | 51680.32 |
| 4 | 17 | 00 | 503 | 09462894 | Q57711 | 964 | 78.00 | 51602.32 |
| 4 | 17 | 00 | 504 | 09462894 | A6930 | 964 | -234.00 | 51836.32 |
| 4 | 17 | 00 | 505 | 09462894 | A6930 | 966 | -342.00 | 52178.32 |
| 4 | 17 | 00 | 506 | 09462894 | A6930 | 964 | 156.00 | 52022.32 |
| 4 | 18 | 00 | 1 | 09064052 | A6930 | 966 | 288.00 | 52022.32 |
| 4 | 18 | 00 | 13 | 09453903 | Q50043 | 115 | 110.00 | 51734.32 |
| 4 | 18 | 00 | 101 | 09485911 | | 101 | 690.00 | 50934.32 |
| 4 | 18 | 00 | 172 | 08917717 | Q-57953 | 960 | 130.00 | 50804.32 |
| | | | | | Q46543 | 116 | 380.00 | 50424.32 |

AN AMOUNT SUFFICIENT TO
OVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

PCT/JP98/03460, filed August 4, 1998
REQUEST OF EARLY NOTIFICATION OF SERIAL NUMBER

72

Ans

Inventor: S. UCHIYAMA, T. UENO, M. KUMEMURA, K. IMAIZUMI,
M. KYOSUKE & S. SHIMIZU

Title: ISOFLAVONE-CONTAINING COMPOSITION

VERIFICATION OF A TRANSLATION

Atty Doc #: Q57711 CLIENT: SAEGUSA INTERNATIONAL PATENT
INTERNATIONAL PRELIMINARY EXAMINATION REPORT OFFICE

Filing Date: 02/08/00 # Pages Spec/Abst: 43/1 # Claims: 32

THREE (3) RECEIPTS OF DEPOSIT OF MICROORGANISMS

Dwg. Sheets: Nil Decl: YES Prelim Amdt: NO

PTO FORM 1595 \$1290.00

IDS/Prior Art: NO Pr Doc: N/A Asgmt: YES Fee: 40.00

INTERNATIONAL SEARCH REPORT W/ PTO FORM 1449

YES Check attached NO Charge to Deposit # 19-4880 Atty/Secy: WAB/c1f

SERIAL NO: _____



LAW OFFICES
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ATTN: BOX PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

February 8, 2000

JAPAN OFFICE

TOEI NISHI SHIMBASHI BLDG. 4F
13-5 NISHI SHIMBASHI 1-CHOME
MINATO-KU, TOKYO 105, JAPAN
TELEPHONE (03) 3503-3760
FACSIMILE (03) 3503-3756

Re: Application of Shigeto UCHIYAMA, Tomomi UENO, Megumi KUMEMURA, Kyoko IMAIZUMI,
Masaki KYOSUKE and Seiichi SHIMIZU
ISOFLAVONE-CONTAINING COMPOSITION
Our Reference: Q57711
PCT/JP98/03460, filed August 4, 1998

FEB - 8 2000

Dear Sir:

Applicants herewith submit the attached papers for the purpose of entering the National stage under 35 U.S.C. § 371 and in accordance with Chapter II of the Patent Cooperation Treaty. Attached hereto is the application identified above which is a verified translation of the International Application No. PCT/JP98/03460, filed August 4, 1998, comprising the specification, claims, executed Declaration and Power of Attorney, three (3) Receipts of Deposit from Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure (FERM BP-6435, 6436 and 6437), International Preliminary Examination Report, International Search Report with PTO Form 1449, executed Assignment and PTO Form 1595.

The Office's attention is directed to the signature of the fourth inventor on the attached executed documents. The fourth inventor correctly signed his name according to the name order used in his country with the family name being set forth first. Accordingly, the typed name of the fourth inventor and the signature of the fourth inventor do correspond with each other.

The Government filing fee is calculated as follows:

| | | | |
|----------------------------------|------------|-------------|--------------------|
| Total Claims | 32 - 20 = | 12 x \$18 = | \$ 216.00 |
| Independent Claims | 6 - 3 = | 3 x \$78 = | \$ 234.00 |
| Base Filing Fee | (\$840.00) | | \$ 840.00 |
| Multiple Dep. Claim Fee | (\$260.00) | | \$ 000.00 |
| TOTAL FILING FEE | | | \$ 1,290.00 |
| Recordation of Assignment Fee | | | \$ 40.00 |
| TOTAL U.S. GOVERNMENT FEE | | | \$ 1,330.00 |

Checks for the statutory filing fee of \$ 1,290.00 and Assignment recordation fee of \$ 40.00 are attached. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.492; 1.16 and 1.17 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from:

Japanese Patent Application
214604/1997

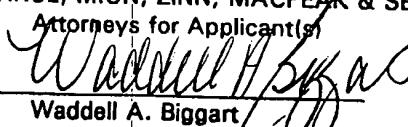
Filing Date

August 8, 1997

The Office is invited to contact the above firm on any question which might arise on the above-named application. Any contact that the Office might need to make should be directed to the undersigned at (202)293-7060.

Respectfully submitted,
SUGHRUE, MION, ZINN, MACPEAK & SEAS
Attorneys for Applicant(s)

By


Waddell A. Biggart
Registration No. 24,861

WAB:clf